



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Re Application of:

Clark E. Lubbers, Keith D. Woestehoff,  
Masami Y. Hua, Richard P. Helliwell,  
Randy L. Roberson and Robert G. Bean

Serial No. 10/043,924

Filed: October 22, 2001

For: SYSTEM AND METHOD FOR  
INTERFACING WITH VIRTUAL  
STORAGE

Group Art Unit: Not Yet 2451  
Accorded

Examiner: Not Yet Tang, Karen  
Accorded

Express Mail No. EL841975159US  
Attorney Docket No. P01-3903  
Client/Matter No. 68854.0190

INFORMATION DISCLOSURE STATEMENT  
UNDER 37 C.F.R. 1.56

Assistant Commissioner for Patents  
Washington, D.C. 20231

Sir:

Applicant hereby submits for filing under 37 CFR 1.97 a disclosure statement. In submitting these references, no representation is made or implied that the references are or are not material to the examination of this application. The patents, publications or other information of which Applicant is presently aware are listed in Form PTO/SB/08A submitted herewith and copies of all such patents and publications are attached hereto.

No fee is believed due for this submittal. However, any fee deficiency associated with this submittal may be charged to Deposit Account No. 50-1123.

Signed on 2/26/02

Respectfully submitted,

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Group Art Unit: Not Yet <sup>2451</sup>  
Accorded

Examiner: Not Yet Tang, Karen  
Accorded

CERTIFICATE OF MAILING BY EXPRESS MAIL

Assistant Commissioner for Patents  
Washington, D.C. 20231

Sir:

The undersigned hereby certifies that the attached:

1. Information Disclosure Statement;
2. Form PTO/SB/08A, with copies of six references;
3. Certificate of Mailing; and
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relating to the above application, were deposited as "Express Mail" Mailing Label No. EL841975159US, with the United States Postal Service, addressed to The Assistant Commissioner for Patents, Washington, D.C., 20231 on 2/26/02.

2/26/02  
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PTO/SB/08A (10/01)  
(Substitute for form 1449A/PTO)

**INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT**

(Use several sheets if necessary)

Sheet 1 of 1

ATTY. DOCKET NO. P01-3903  
Client/Matter No. 68854.0190

APPLICATION NO.  
10/043,924

**FIRST NAMED INVENTOR**  
Clark E. Lubbers, Keith D. Woestehoff, Masami Y. Hua, Richard P. Helliwell,  
Randy L. Roberson and Robert G. Bean

**FILING DATE**  
October 22, 2001

**ART UNIT** Not yet accorded  
2451

**U.S. PATENT DOCUMENTS**

Examiner Initials	Cite No.	Document No. No. - Kind Code	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Doc	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
/K.T./		US-5,996,089		Mann et al.	
/K.T./		US-5,832,222		Dzadosz et al.	
/K.T./		US-6,148,414		Brown et al.	

**FOREIGN PATENT DOCUMENTS**

Examiner Initials	Cite No.	Foreign Patent Doc. ctry code - No. - Kind Code	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Doc	Pages, Columns, Lines Where Relevant Passages or Relevant Figures Appear	TRANSLATION	
						YES	NO

**OTHER PRIOR ART - NON PATENT LITERATURE DOCUMENTS**

Examiner Initials	Cite No.	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s) publisher, city and/or country where published
/K.T./		SMART STORAGE Inc., "SmartStor Infinet™: Virtual Storage for Today's E-economy," September 2000.
/K.T./		COMPAQ COMPUTER CORPORATION, "The Compaq Enterprise Network Storage Architecture: An Overview," May 2000.
/K.T./		COMPAQ COMPUTER CORPORATION, "Compaq Storage Works" Data Replication Manager HSG80 ACS V8.5P Operations Guide, March 2000.

**EXAMINER SIGNATURE** /Karen Tang/

**DATE CONSIDERED** 10/29/2008

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.